

# Study for Frequency Response of SAW Devices with $\text{SiO}_x\text{N}_y$ Film Using $\text{LiTaO}_3$ Substrate

$\text{LiTaO}_3$  基板を用いた SAW デバイス周波数応答に対する  $\text{SiO}_x\text{N}_y$  膜依存性

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## 1. Introduction

Recently, the need for SAW (Surface Acoustic Wave) devices in multi-band system has increased as capacity and speed in mobile phone communication systems increase. Against this background, studies to improve frequency response and TCF (Temperature Coefficient of Frequency) of SAW devices have been actively conducted. For example, one method being explored is to deposit  $\text{SiO}_2$  film, which has frequency temperature coefficients whereby positive and negative are different from those of the substrate material, on the IDT electrode formed on the  $\text{LiTaO}_3$  or  $\text{LiNbO}_3$  substrate [1, 2, 3, 4, 5].

On the other hand, the effect of  $\text{SiO}_x\text{N}_y$ , which is widely used in electrical devices, on the frequency responses of SAW devices and TCF has not been fully investigated. In recent years, there was an interesting observation that showed the change in the refractive index of  $\text{SiO}_x\text{N}_y$  film causes the change in acoustic velocity of  $\text{SiO}_x\text{N}_y$  bulk film[6].

Here, we have conducted a study to evaluate the effect of refractive index on SAW device frequency characteristics by depositing  $\text{SiO}_x\text{N}_y$  film with different refractive indexes on the IDT electrode.

## 2. Experimental Procedures

One-port resonators were fabricated on Al IDT electrode/42°Y-X  $\text{LiTaO}_3$  substrate structure. Then dielectric films ( $\text{SiO}_x\text{N}_y$ ,  $\text{SiO}_2$  and  $\text{Si}_3\text{N}_4$ ) having different refractive indexes shown in Table 1 were deposited on IDT electrode. The IDT pitch was set at  $\lambda=1.8, 2.0, 2.2(\mu\text{m})$  and the Al layer for IDT electrode was deposited at thicknesses of  $h_{\text{IDT}}/\lambda=0.094, 0.085$  and  $0.077$  each in this experiment. RF sputtering was performed to deposit the dielectric film on the one-port electrode at thicknesses of  $h_{\text{dielectric}}/\lambda=0.11, 0.10$  and  $0.09$  each.

These dielectric films were deposited by sputtering while oxygen and nitrogen were added

using Si target. The refractive index of  $\text{SiO}_x\text{N}_y$  films was varied by changing the additive amount of  $\text{O}_2$  gas and  $\text{N}_2$  gas.

Table 1. Split table for 1-port resonator evaluation.

Split	Dielectric	Refractive Index @633[nm]	$y/(x+y)$ [%] (Calculated from RI)
(A)	$\text{SiO}_2$	1.478	0
(B)	$\text{SiO}_x\text{N}_y$	1.558	14.8
(C)	$\text{SiO}_x\text{N}_y$	1.610	24.4
(D)	$\text{SiO}_x\text{N}_y$	1.712	43.3
(E)	$\text{Si}_3\text{N}_4$	2.019	100
(F)	N/A	N/A	N/A

The refractive indexes of  $\text{SiO}_2$  or  $\text{Si}_3\text{N}_4$  film that were measured by spectroscopic ellipsometry shown on Table 1 have similar values to reference [7, 8]. The content ratio of nitrogen  $y/(x+y)$  in  $\text{SiO}_x\text{N}_y$  films was calculated by putting the obtained data of refractive indexes of  $\text{SiO}_x\text{N}_y$  films in a proportional equation that was given by the assumption that the content ratio of N in the  $\text{SiO}_2$  film is 0 (%) and the content ratio of N in the  $\text{Si}_3\text{N}_4$  film is 100 (%). Here we measured the frequency response of one-port resonators fabricated in this experiment.

## 3. Result and Discussion

Figure 1 shows the  $Y(1,1)$  admittance characteristics of the one-port resonators with  $\lambda=2.0(\mu\text{m})$ . The same as the reference [2, 3], the frequency of sample (A) for which  $\text{SiO}_2$  film was deposited on IDT electrode was lowered compared to that of sample (F) with no dielectric film on IDT electrode. On the other hand, the frequency of sample (E) with  $\text{Si}_3\text{N}_4$  film deposited on IDT electrode rose compared to that of sample (F). This phenomenon is considered to reflect the effect of the velocity of each  $\text{SiO}_2$  film and  $\text{Si}_3\text{N}_4$  film deposited on IDT electrode.

Also, Figure 1 shows that the resonator frequency of sample of  $\text{SiO}_x\text{N}_y$  films deposited on IDT electrode (B, C, D) takes a value in the middle between sample (A) with  $\text{SiO}_2$  film deposition and

sample (E) with  $\text{Si}_3\text{N}_4$  film deposition. It was also confirmed that the frequency of resonators with  $\text{SiO}_x\text{N}_y$  films rises as refractive index of  $\text{SiO}_x\text{N}_y$  film increases. This shows that the velocity of  $\text{SiO}_x\text{N}_y$  films increases as refractive index of  $\text{SiO}_x\text{N}_y$  film increases [6].

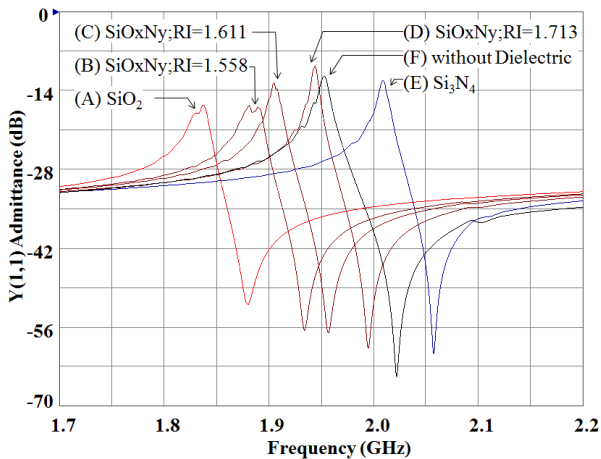


Figure 1. Frequency characteristics of one-port resonators with  $\lambda=2.0\mu\text{m}$ .

Figure 2 shows the change rate of frequency between resonators with no dielectric film on IDT electrode and resonators with dielectric film on IDT electrode against the refractive indexes of each dielectric film. Compared to the frequency of resonators with no dielectric film, the frequency of resonators with  $\text{SiO}_2$  film (refractive index 1.478) lowers and the frequency of resonators with  $\text{Si}_3\text{N}_4$  film (refractive index 2.019) rises with any of the resonators at  $\lambda=1.8\text{-}2.2$ . The frequency of resonators with  $\text{SiO}_x\text{N}_y$  film changes as refractive index of  $\text{SiO}_x\text{N}_y$  film changes. Meanwhile, Figure 2 shows that the change rate of frequency increases as the wavelength ( $\lambda$ ) of resonators decreases. This behavior may include effect that the dielectric film thickness  $h_{\text{dielectric}}/\lambda$  increases as the wavelength ( $\lambda$ ) of resonators decreases.

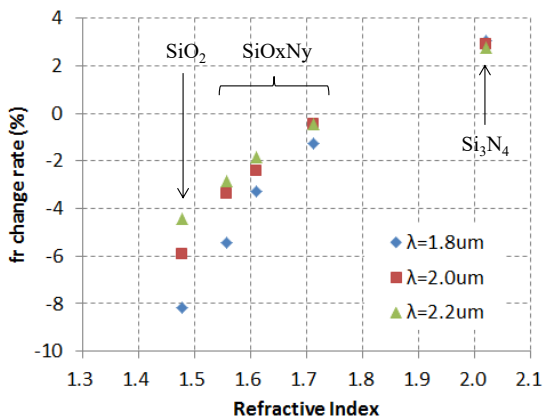


Figure 2. Frequency of resonance (fr) change rate caused by dielectric deposition as a function of refractive index.

As Figure 2 shows, the amount of change in frequency by  $\text{SiO}_x\text{N}_y$  film deposition becomes larger as the refractive index gets smaller (film has less nitrogen) and it becomes smaller as the refractive index gets larger (film has more nitrogen). This data tells that the frequency of resonators with  $\text{SiO}_x\text{N}_y$  film deposition is the same as that of resonators with no  $\text{SiO}_x\text{N}_y$  film in refractive index from 1.7 to 1.8. This means as a result of depositing  $\text{SiO}_x\text{N}_y$  film, there is certain refractive index in which zero drift of frequency occurs.

#### 4. Conclusion

In this study, we investigated the effect of refractive index with  $\text{SiO}_x\text{N}_y$  film deposited on the IDT electrode on the frequency characteristics of the SAW device and observed the following findings.

- The frequency of one port resonator with  $\text{SiO}_x\text{N}_y$  film takes the middle value of the frequency between the frequencies with  $\text{SiO}_2$  film and  $\text{Si}_3\text{N}_4$  film. The frequency of a resonator rises as refractive index of  $\text{SiO}_x\text{N}_y$  film increases.
- Frequency change rate by  $\text{SiO}_x\text{N}_y$  film deposition increases in the range of refractive index has low value (film has less oxygen). On the other hand, frequency change rate by  $\text{SiO}_x\text{N}_y$  film deposition become smaller in the range of refractive index has high value (film has less oxygen). The frequency of resonators with  $\text{SiO}_x\text{N}_y$  film deposited in refractive index from 1.7 to 1.8 is same of the frequency of resonators with no  $\text{SiO}_x\text{N}_y$  film.

This study showed that controlling refractive index of  $\text{SiO}_x\text{N}_y$  film enables adjustment of frequency of SAW devices. In addition, controlling refractive index of  $\text{SiO}_x\text{N}_y$  film allows suppression of frequency drift of SAW devices. This indicates controlling refractive index of  $\text{SiO}_x\text{N}_y$  film is useful to control the property of SAW devices.

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