

Loss Reduction of Leaky Surface Acoustic Wave by Loading with High-Velocity Thin Film

高音速薄膜装荷によるリーキー弾性表面波の低損失化

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1. Introduction

Leaky surface acoustic waves (LSAWs) offer a number of beneficial characteristics such as a larger electromechanical coupling factor (K^2) and higher phase velocity than Rayleigh waves. However, LSAWs have inherent attenuation because they lose energy by continuously radiating the bulk wave into the substrate. As a method of decreasing the attenuation, a transformation from an LSAW to a non-leaky Love SAW has been utilized by using heavy-metal gratings or by loading the substrate with a dielectric thin film with a lower velocity than that of the substrate.

On the other hand, the authors proposed a layered structure consisting of a reverse-proton-exchanged layer having a higher velocity than that of the substrate region, and showed that, for an LSAW and a longitudinal-type LSAW (LLSAW) on LiNbO₃ (LN) substrates, the attenuation was reduced markedly.¹⁻³ For an LLSAW, the authors have also shown that its attenuation can be reduced by loading the substrate with an aluminum nitride (AlN) thin film with a higher velocity than that of the substrate.^{4,5}

In this study, the propagation properties of LSAWs on rotated Y -cut LN substrates loaded with an AlN thin film were investigated theoretically and experimentally.

2. Theoretical Calculation

The phase velocity, attenuation, and coupling factor K^2 of an LSAW on a rotated Y -cut X -propagating (YX) LN substrate with an AlN thin film were calculated by a numerical method. The elastic constants determined for a nonpiezoelectric and polycrystalline AlN thin film deposited using an RF magnetron sputtering system (ULVAC MPS-2000) in the experiment, as described later, were used.⁵ The material constants of LN reported by Kushibiki *et al.* were used.⁶

Figures 1 and 2 show the theoretical values of the attenuation under the metallized electrical condition at the boundary between the film and the substrate and the corresponding K^2 , respectively, as a function of the rotation angle θ from the Y axis. The film thickness h normalized by the wavelength λ of the LSAW, h/λ , was used as a parameter in these

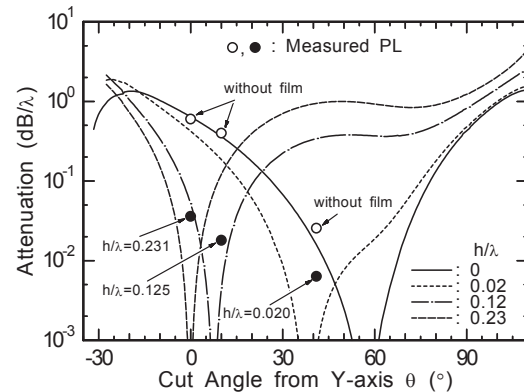


Fig. 1 Calculated attenuation and measured PL.

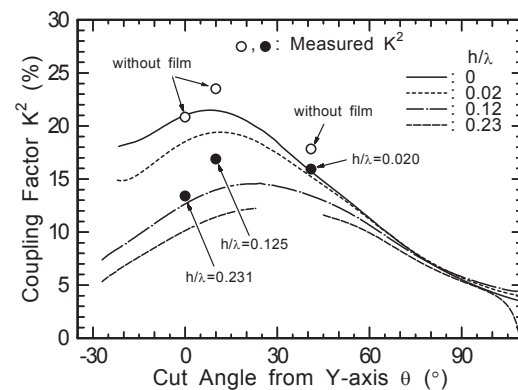


Fig. 2 Calculated and measured coupling factor.

figures. For the case without the film, while the attenuation vanishes at approximately 60°, larger attenuation exists at around the Y -cut ($\theta=0^\circ$) having a larger K^2 .⁷ The rotation angle from the Y axis giving zero attenuation shifts from approximately 60° toward 0° as the film thickness is increased. This means that the anisotropy of the substrate can be changed by loading it with a high-velocity thin film.

K^2 decreases monotonically with increasing film thickness owing to the nonpiezoelectricity of the thin film. From these theoretical results, it was found that a combination of the film thickness and rotation angle exists for which zero attenuation and the maximum K^2 can be obtained simultaneously. For $h/\lambda=0.065$ and $\theta=17^\circ$, a theoretical K^2 of 16.5% was obtained, which was larger than that (12.1%) at the rotation angle giving zero attenuation without a film.

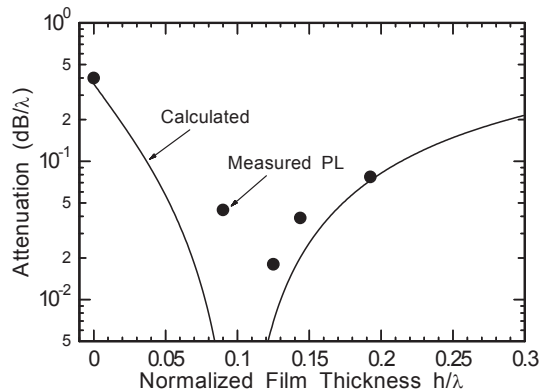


Fig. 3 Calculated attenuation and measured PL for $10^\circ YX$ -LN.

As an example for a fixed rotation angle, **Fig. 3** shows the calculated attenuation for $\theta=10^\circ$ as a function of h/λ . The calculated attenuation was reduced to zero from $0.36 \text{ dB}/\lambda$ for the case without a film by loading the substrate with an AlN thin film of approximately 0.1λ thickness. Then the attenuation increased with increasing film thickness.

3. Experiment

Substrates with three rotation angles, $0^\circ YX$, $10^\circ YX$, and $41^\circ YX$ -LN, were used in the experiment. A single-electrode IDT pair with a period λ of $8 \mu\text{m}$ was fabricated using a $0.015\text{-}\lambda$ -thick Al film on the substrates. To evaluate the propagation loss PL , samples with propagation path lengths of $L=10, 25$, and 50λ ($L=100, 200$, and 300λ for $41^\circ YX$ -LN) were fabricated. Then, an AlN thin film was deposited on the IDT pair and the metallized propagation path by an RF magnetron sputtering system with a long-throw sputter cathode at a substrate temperature of 150°C . Samples with several film thicknesses were fabricated so that the film thickness giving zero attenuation was included.

Figure 4 shows an example of the frequency responses between the input and output IDTs for $10^\circ YX$ -LN and $L=50 \lambda$. For the sample without a film, the response of the LSAW was observed at a center frequency of 550 MHz , and the minimum insertion loss IL was measured to be approximately 30 dB owing to the large propagation loss. When the film thickness was 0.125λ , IL was 20 dB less than that for the sample without the film. The values of PL determined from the slope of the IL vs propagation length L graph are shown in Fig. 3. The film thickness dependence of the measured PL was similar to the calculated dependence. After the deposition of the $0.125\text{-}\lambda$ -thick AlN thin film, the measured PL decreased from $0.40 \text{ dB}/\lambda$ for the sample without a film to $0.02 \text{ dB}/\lambda$.

The values PL for each rotation angle with and without the film obtained using a similar evaluation method are shown in Fig. 1. For the results with the film, only the minimum PL obtained for each

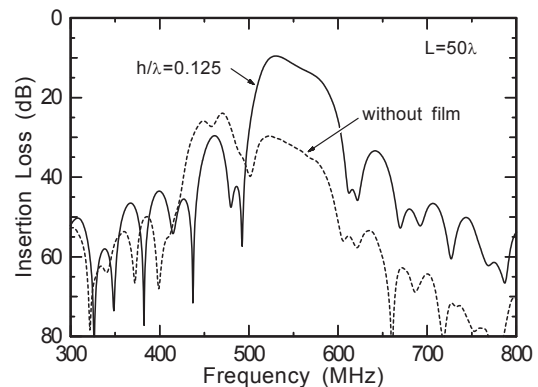


Fig. 4 Frequency responses for $10^\circ YX$ -LN.

rotation angle is plotted in the figure, and the film thicknesses are also shown in the figure. For each rotation angle, the film thickness giving the minimum PL was almost in agreement with the theoretical result. Therefore, it was confirmed experimentally that the rotation angle giving the minimum attenuation shifts toward a smaller rotation angle as the AlN film thickness is increased.

The value of K^2 determined from the measured IDT admittance is also shown in Fig. 2. Except for the case of YX -LN without a film, the measured K^2 was larger than the calculated value owing to the internal reflection of the IDT because a single finger was used in the IDT. The film thickness and rotation angle dependences of the measured K^2 were similar to the theoretical results. Therefore, a combination of the film thickness and rotation angle for which zero attenuation and the maximum K^2 can be obtained simultaneously is considered to exist.

4. Conclusions

For rotated YX -LN substrates loaded with an AlN thin film, the rotation angle dependences of the propagation properties of LSAWs were investigated. It was found that the minimum attenuation can be obtained at a certain film thickness of the AlN thin film for a rotation angle ranging from 0° to 60° because the rotation angle giving the minimum attenuation shifts toward a smaller rotation angle as the film thickness is increased. By using this structure, a higher velocity than that in the case of a transformation to a non-leaky Love SAW can be obtained.

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